

Sep 11 06 04:01p

Leona L. Lauder

415-981-0332

p. 1

LAW OFFICES OF LEONA L. LAUDER

INTELLECTUAL PROPERTY - SPECIALIZING IN BIOTECHNOLOGICAL AND CHEMICAL PATENTS
235 MONTGOMERY STREET, SUITE 1026
SAN FRANCISCO, CALIFORNIA 94104-3008
TELEPHONE (415) 981-2034 FAX (415) 981-0332

FACSIMILE MESSAGE

MAIL STOP ISSUE FEE
TO : Commissioner for Patents

FAX NO.: 571-273-2885

FROM: Leona L. Lauder

DATE : September 11, 2006

NO. OF PAGES FOLLOWING: 4

IMPORTANT NOTICE

The information contained in this facsimile message may include attorney-client privileged and confidential information intended only for the use of the individual or entity named above and others who have been specifically authorized to receive it. If you are not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone (415-981-2034) and return the original transmission to us by mail without making a copy. Thank you.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Bruce M. Bowman

Group: 1600

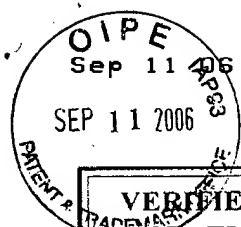
Serial No.: 09/480,389

Group Art Unit: 1643

Filed : January 11, 2000

Examiner: A. Holleran

For : Immunoassays to Detect Diseases or Disease
Susceptibility Traits



Sep 11 2006 04:02p

Leona L. Lauder

415-981-0332

p. 4

Page 1 of 2

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN			Docket No. CATX-N
Application No. 09/480,389	Filing Date 01/11/00	Patent No.	Issue Date
Applicant/ Bruce M. Boman Patentee:			
Invention: Immunoassays to Detect Diseases or Disease Susceptibility Traits			
<p>I hereby declare that I am:</p> <p><input type="checkbox"/> the owner of the small business concern identified below:</p> <p><input checked="" type="checkbox"/> the Attorney for Applicant appointed in compliance with 37 CFR Section 1.32(b)</p> <p>NAME OF CONCERN: CA*TX Inc.</p> <p>ADDRESS OF CONCERN: P.O. Box 8431, Ann Arbor, MI 48107-8431</p> <p>I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.</p> <p>I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:</p> <p><input type="checkbox"/> the specification filed herewith with title as listed above.</p> <p><input checked="" type="checkbox"/> the application identified above.</p> <p><input type="checkbox"/> the patent identified above.</p> <p>If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).</p>			

P05/REV03

Patent and Trademark Office-U.S. DEPARTMENT OF COMMERCE



Leona L. Lauder

415-981-0332

p. 5

Page 2 of 2

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Leona L. Lauder

TITLE OF PERSON SIGNING
OTHER THAN OWNER: Attorney for Applicant, Reg. No. 30,863

ADDRESS OF PERSON SIGNING: 235 Montgomery Street, Suite 1026
San Francisco, CA 94104

SIGNATURE:  DATE: September 11, 2006

Patent and Trademark Office-U.S. DEPARTMENT OF COMMERCE